





# Mismatch Repair

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# Mechanisms in $E.\ coli$ and Human Mismatch Repair (Nobel Lecture)\*\*

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 $\mbox{mismatch} \cdot \mbox{DNA repair} \cdot \mbox{endonucleases} \cdot \\ \mbox{Nobel lecture}$ 

The idea that mismatched base pairs occur in cells and that such lesions trigger their own repair was suggested 50 years ago by Robin Holliday in the context of genetic recombination.<sup>[1]</sup> Breakage and rejoining of DNA helices was known to occur during this process,[2] with precision of rejoining attributed to formation of a heteroduplex joint, a region of helix where the two strands are derived from the different recombining partners. Holliday pointed out that if this heteroduplex region should span a genetic difference between the two DNAs, then it will contain one or more mismatched base pairs. He invoked processing of such mismatches to explain the recombination-associated phenomenon of gene conversion,[1] noting that "If there are enzymes which can repair points of damage in DNA, it would seem possible that the same enzymes could recognize the abnormality of base pairing, and by exchange reactions rectify this."

Direct evidence that mismatches provoke a repair reaction was provided by bacterial transformation experiments,[3-5] and our interest in this effect was prompted by the Escherichia coli (E. coli) work done in Matt Meselson's lab at Harvard. Using artificially constructed heteroduplex DNAs containing multiple mismatched base pairs, Wagner and Meselson<sup>[6]</sup> demonstrated that mismatches elicit a repair reaction upon introduction into the E. coli cell. They also showed that closely spaced mismatches, mismatches separated by a 1000 base pairs or so, are usually repaired on the same DNA strand. Based on this strand bias effect, Wagner and Meselson proposed that in addition to its role in genetic recombination, "... mismatch repair may act to correct mutations that arise as replication errors. If so, it may be that mismatch repair acts in a directed manner in conjunction with sister chromatid exchange or that it occurs with particularly high efficiency on newly synthesized DNA strands, possibly because of their undermethylation or because of a special relation to the replication complex." This suggestion proved to be particularly insightful, and we now know from work in many labs that correction of DNA biosynthetic errors is a primary job of mismatch repair.<sup>[7]</sup>

In order to function in this manner, the repair system must be able to do two things. It must recognize the mismatched base pair produced by the replication error, but it also has to identify the new DNA strand, which contains the mistake. Pat Pukkila in the Meselson lab showed that the strand direction of *E. coli* mismatch repair is dictated by the state of adenine

methylation at d(GATC) sequences.<sup>[8]</sup> Because this modification occurs after DNA synthesis, newly synthesized DNA exists transiently in an unmodified state, and it is this transient absence of methylation that directs repair to the new strand (Figure 1). Consistent with the idea that mismatch repair contributes to replication fidelity, Miro Radman, Barry Glickman, and others<sup>[9-11]</sup> showed that the methyl-directed pathway depends on the products of four *E. coli* mutator genes: *mutH*, *mutL*, *mutS*, and *uvrD*. Inactivation of any of these genes increases mutation production in the E coli cell 50- to 100-fold, indicating the importance of this pathway in mutation avoidance and genetic stability.

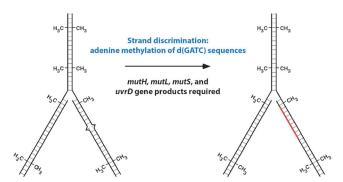


Figure 1. E. coli methyl-directed mismatch repair.

This is where we entered the picture. I was interested in how mismatches might be recognized and how the state of DNA methylation at one site on the helix directs mismatch repair elsewhere on the DNA. To address these questions, we needed a biochemical assay. Exploiting several tricks developed in Norton Zinder's laboratory at Rockefeller University, A-Lien Lu built heteroduplex DNAs like that shown in Figure 2A: circular molecules in which the strands are in defined states of d(GATC) methylation and which contain a G-T mismatch within the recognition site for EcoRI

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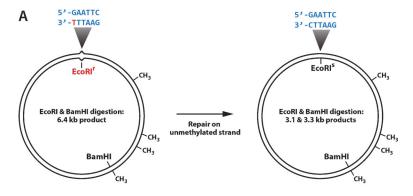
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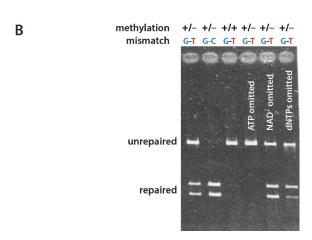


Figure 2. Methyl-directed mismatch repair in E. coli cell extracts. A) Assay for in vitro mismatch repair. Presence of the G–T mismatch within the EcoRI recognition sequence in unrepaired DNA blocks cleavage by this endonuclease. B) In vitro repair of heteroduplex shown in panel (A). Residual heteroduplex repair occurring in the absence of exogenous dNTPs (right lane) is due to presence of the DNA biosynthetic precursors in the extract.<sup>[18]</sup> Panel (A) is adapted with permission from reference [15]; panel (B) is adapted from reference [14].

restriction endonuclease. [14,15] Because the mismatch blocks DNA cleavage by EcoRI, digestion of this DNA with EcoRI and BamHI endonucleases yields a full-length linear product (Figure 2B, unrepaired). However, if repair occurs on the unmethylated strand, as predicted by the Meselson mechanism, the G-T mismatch will be corrected to a G-C base pair restoring EcoRI sensitivity, and digestion with EcoRI and BamHI will produce the two smaller DNA fragments (Figure 2B, repaired). In fact, incubation of this DNA with extracts prepared from broken *E. coli* cells converts it to an EcoRI sensitive form. As anticipated by Meselson and colleagues, [8] this in vitro reaction is blocked when both DNA strands are methylated, and like in vivo methyl-directed repair, [9-11] the in vitro reaction depends on functional products of the *mutH*, *mutL*, *mutS* and *uvrD* genes. [14,15]

Michael Su, Bob Lahue, and Karin Au showed that this in vitro extract reaction supports repair of all of the base–base mismatches except C–C,<sup>[16]</sup> and that at least one hemimethylated d(GATC) site is required for repair to occur.<sup>[17]</sup> The latter finding prompted simplification of our substrates to molecules that contain a mismatch and a single d(GATC) site (Figure 3, upper) separated by a thousand base pairs (shorter

path). Essentially all of our subsequent work was done with molecules like these.

A-Lien Lu demonstrated that the extract reaction is accompanied by DNA synthesis occurring on the unmethylated strand, suggesting an excision repair mechanism.<sup>[14]</sup> Working in collaboration with Jack Griffith's lab at the University of North Carolina, Michael Su and Michelle Grilley confirmed this to be the case. Incubation of hemimethylated heteroduplex DNA with E. coli extract under conditions of DNA synthesis block resulted in the production of a single-strand gap spanning the shorter path between the two sites in the circular DNA, [18,19] and this was true both hemimethylated configurations (Figure 3, bottom). Because the two strands of the helix are antiparallel, this indicated that there is no obligate polarity of the two DNA sites, and suggested that methyl-directed repair supports bidirectional excision.

To clarify how this pathway works we isolated the MutH, MutL, MutS, and UvrD proteins in pure form. [20–23] We knew from the prior work of Peter Emmerson [24] that the *uvrD* gene product is DNA helicase II, an enzyme that unwinds the two strands of the helix in an ATP-dependent fashion. But the nature of the other three proteins was unknown.

Michael Su showed that MutS is responsible for mismatch recognition (see Figure 4A). [16,20] The ultimate confirmation of this conclusion is the beautiful crystal structure of the *E. coli* MutS dimer bound to a G–T mismatch (Figure 4B) that was solved in Titia Sixma's laboratory at the Netherlands Cancer Institute. [25] Michelle Grilley showed that MutL is recruited to the MutSheteroduplex complex in an ATP-dependent

reaction (Figure 4C), but does not otherwise alter the covalent nature of the helix.<sup>[22]</sup> Kate Welsh and A-Lien Lu found that the MutH protein has a very tightly associated, but nearly dead (<1 turnover/hour) endonuclease activity that incises DNA at an unmethylated d(GATC) sequence.<sup>[21]</sup> As discussed below, assembly of the MutL–MutS–heteroduplex ternary complex leads to dramatic activation of this latent MutH d(GATC) endonuclease.

Because these four proteins are not sufficient to support mismatch repair, Bob Lahue and Karin Au used biochemical and genetic approaches to identify other required components, and they identified four: exonuclease I, which hydrolyzes single-stranded DNA with 3' to 5' polarity, the *E. coli* single strand DNA binding protein SSB, DNA polymerase III holenzyme, which functions in DNA replication, and DNA ligase. They showed that a system comprised of MutH, MutL, MutS, UvrD (DNA helicase II), exonuclease I (Exo I), SSB, DNA polymerase III holoenzyme, and DNA ligase was sufficient to reconstitute methyl-directed mismatch repair in vitro

The assay used in these reconstitution experiments was a refined version of the one described in Figure 1. In this





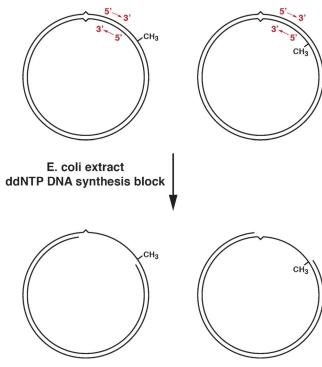


Figure 3. Methyl-directed repair in E. coli extract supports bidirectional excision. Incubation of 5′ (left) or 3′ (right) hemimethylated G–T heteroduplex DNA in E. coli extract in the presence if dideoxynucleoside-5′-triphosphates results in production of a single-strand gap that spans the shorter path between the two DNA sites. [18,19]

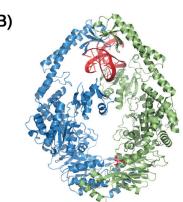
example shown in Figure 5A, a G-T mismatch is placed in overlapping recognition sites for two restriction enzymes, HindIII and XhoI. The mismatch blocks cleavage by both enzymes, but repair on the bottom strand generates an A-T base pair and a good HindIII site, while repair on the top strand produces a G-C base pair and a good XhoI site. This permits repair on either strand to be directly scored. A heteroduplex that lacks a d(GATC) site is not a substrate for the purified system (Figure 5B, lanes 1 and 2), nor is a heteroduplex in which both strands are methylated (lanes 3 and 4). However, hemimethylated DNA is repaired. When the methyl group resides on the strand containing the mismatched G, repair is exclusively to G-C (lanes 5 and 6), and when the strand containing the mismatched T is methylated, repair is to A-T (lanes 7 and 8), as expected for methyl-directed correction. This reconstituted system supports repair of all base–base mismatches except C–C.<sup>[23]</sup>

As described above, analysis of the extract reaction suggested a bidirectional excision capability, which would presumably depend on both 3' to 5' and 5' to 3' exonucleases. This proved to be correct. Deani Cooper, Vickers Burdett and Celia Baitinger<sup>[26–28]</sup> showed that when the unmethylated d(GATC) sequence resides 5' to the mismatch, in vitro repair absolutely depends on 5' to 3' excision by exonuclease VII (ExoVII) or RecJ exonuclease. Collaborative experiments with Susan Lovett's lab showed that in vitro repair directed by a d(GATC) sequence located 3' to the mismatch depends on 3' to 5' hydrolysis by Exo1 or ExoX, [26,28] although ExoVII (which supports both 5' to 3' and 3' to 5' hydrolysis)[29] may

# A)

Apparent affinities of mutS protein for base pair mismatches

Mismatch	Apparent dissociation constant
	nM
G-T	$39 \pm 4$
A-C	$53 \pm 4$
A-A	$110 \pm 7$
T-T	$140 \pm 9$
G-G	$150 \pm 10$
A-G	$270 \pm 30$
C-T	$370 \pm 40$
C-C	$480 \pm 50$
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B)	66 123



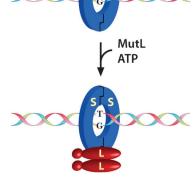


Figure 4. Biological activities of MutS and MutL. A) MutS binds mismatched base pairs. B) Crystal structure of the E. coli MutS dimer bound to a G–T mismatch was determined by Titia Sixma and colleagues. [25] C) MutL is recruited to the MutS-mismatch complex in an ATP-dependent fashion. Panel (A) is reproduced from reference [16]; the image in panel (B) was provided by Titia Sixma with permission.





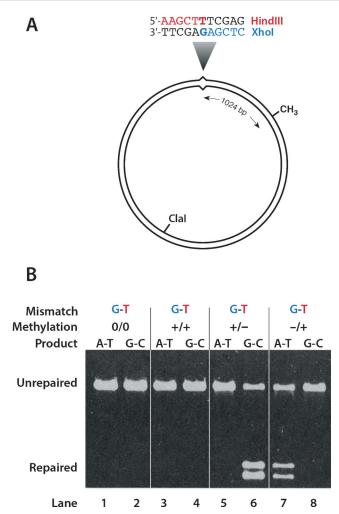


Figure 5. Methyl-directed mismatch repair in a purified system. A) The heteroduplex substrates used in these experiments contained a mismatched base pair within overlapping recognition sites for two restriction enzymes, <sup>[16]</sup> which permits repair on either DNA strand to be monitored. B) Repair of a G–T heteroduplex is methyl-directed and requires presence of a hemimethylated d(GATC) site. Panels (A) and (B) are reproduced from reference [23] with permission from AAAS.

also play a limited role in 3'-directed excision. [28] Our original reconstitution experiments, which contained only ExoI, [23] were successful because the DNA polymerase III holoenzyme preparations used in these early studies were contaminated with ExoVII. [26]

Although extracts prepared from RecJ<sup>-</sup> ExoVII<sup>-</sup> ExoI<sup>-</sup> Exo X<sup>-</sup> E. coli cells are completely defective in both 3'- and 5'-directed mismatch repair, this strain displays only a 7-fold increase in mutation rate, substantially less than the 30-to 100-fold mutability increases observed with MutS<sup>-</sup> or UvrD<sup>-</sup> cells.<sup>[28]</sup> This paradox was resolved with the demonstration that production of mismatched base pairs in RecJ<sup>-</sup> ExoVII<sup>-</sup> ExoI<sup>-</sup> Exo X<sup>-</sup> cells

results in loss of viability in manner that depends on upstream action of MutH, MutL, MutS, and UvrD proteins. <sup>[27]</sup> These findings confirm involvement of the four exonucleases in methyl-directed mismatch repair in the *E. coli* cell and suggest that reduced mutability of the exonuclease-deficient strain is due to under recovery of mutants as a consequence of chromosome loss.

Availability of the required set of purified *E. coli* proteins permitted us to address the mechanism of the methyl-directed reaction. Mismatch recognition by MutS leads to recruitment of MutL to the heteroduplex (Figure 6). MutL serves to interface mismatch recognition by MutS to activation of downstream repair activities, one of which is MutH, the latent d(GATC) endonuclease mentioned above. Karin Au showed that methyl-directed repair initiates by activation of MutH endonuclease in a mismatch, MutS, and MutL dependent fashion. DNA incision by activated MutH is targeted to the unmethylated stand at a hemimethylated d(GATC) sequence and can occur either 5' or 3' to the mismatch, consistent with a bidirectional mechanism, and the resulting strand break that is the actual signal that directs mismatch repair to the unmethylated strand.

Assembly of the MutL-MutS-heteroduplex complex also activates the excision system, which is comprised of the uvrD gene product, DNA helicase II, and the four single-strand exonucleases mentioned above (Figure 7). Vivian Dao and Miyuki Yamaguchi demonstrated that MutS and MutL load DNA helicase II at the MutH strand break with an orientation bias so that unwinding of the helix proceeds toward the mismatch. [31,32] This loading bias is true for both heteroduplex orientations, without regard to location of the strand break 5' or 3' to the mismatch. The single-strand displaced by helicase unwinding is degraded by ExoVII or RecJ when the nick is located 5' to the mismatch, and by ExoI or ExoX when the break is 3' to the mispair. The single-strand gap produced in this manner is repaired by the components of DNA polymerase III holoenzyme, and although not shown in Figure 7, DNA ligase restores covalent continuity to the product. Anna Pluciennik showed that function of the polymerase III holoenzyme components is restricted to the repair synthesis step of E. coli mismatch correction, and have no involvement in the MutH activation or excision steps of repair. [33] This is noteworthy because the human pathway described below differs in this respect.

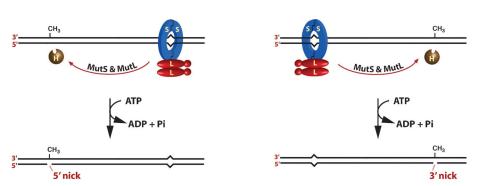


Figure 6. MutH activation and initiation of methyl-directed mismatch repair.





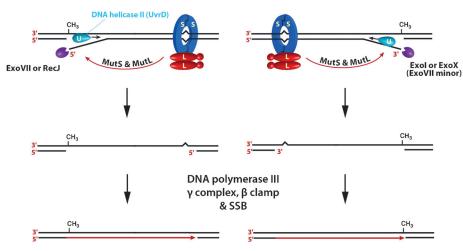


Figure 7. Excision and repair synthesis steps of methyl-directed mismatch repair.

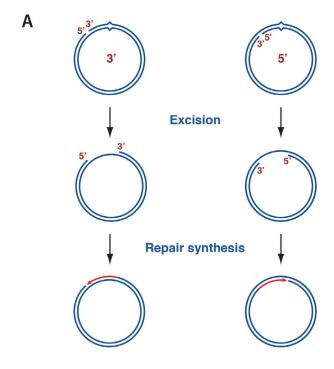
Methyl-directed repair clearly involves action at a distance, i.e. the effective interaction of two DNA sites that in our substrates are separated by a thousand base pairs. But the mechanism by which this occurs has been a subject of debate for almost 20 years. The orientation-dependent loading of helicase II summarized in Figure 7 indicates that the repair system can establish the relative orientation of the mismatch and d(GATC) site, which implies that signaling must occur along the helix contour between the two sites. There is good evidence from multiple labs that MutS and probably the MutL·MutS complex can move along the helix in an ATP-dependent manner, and we favor the idea that signaling between the two sites is mediated by this sort of movement. However, this point is not yet proven.

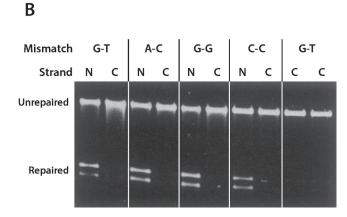
As our work on E. coli mismatch repair progressed, we were curious whether a similar stand-directed pathway might exist in higher cells. Work from multiple labs indicated that mismatches are rectified in eukaryotic cells, [37-40] but there was no evidence for strand-directed repair. The problem we had in addressing this question was the lack of information concerning the nature of the biological strand signals. To circumvent this problem Jude Holmes, an MD/PhD student who began this work, exploited a finding we had made in the E. coli system described above, namely that the function of MutH and the hemimethylated d(GATC) strand signals in the bacterial reaction is provision of a strand break, which serves as the actual signal that directs methyl-directed mismatch repair. Jude constructed heteroduplex DNAs that contained a site-specific strand-specific nick (Figure 8 A) and found that these DNAs are subject to repair on the nicked DNA strand in extracts prepared from human (Figure 8B) or Drosophila melanogaster cells.[41] No significant repair occurs on the continuous strand, and when both strands are covalently

Figure 8. Mismatch repair of nicked heteroduplexes in human cell extracts. A) Schematic of substrate design and mechanism of repair deduced from extract experiments. B) Mismatch repair in nuclear extracts of human cells is directed to the strand that contains a preexisting strand break (N). No significant repair occurs on the covalently continuous strand (C). Panel (B) is reproduced from reference [41].

continuous, repair is blocked. Furthermore, Woei-horng Fang demonstrated that DNA hydrolytic events occurring on these DNAs are largely restricted to the shorter path between the nick and the mismatch regardless of 5' or 3' orientation of the two DNA sites (Figure 8 A). This is reminiscent of bidirectional methyl-directed repair, but as described below, the mechanism of the human reaction differs in fundamental ways from that of the *E. coli* pathway.

The development that permitted us to identify the key proteins involved in the initiation of human mismatch repair and to pursue the mechanism of the reaction was the









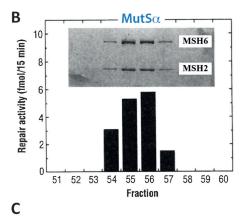
publication in 1993 of two papers from de la Chapelle, Vogelstein, and Perucho laboratories. [42,43] These papers showed that a high frequency of mutation within simple mononucleotide and dinucleotide repeat sequences, a phenotype called microsatellite instability, is characteristic of certain cancers, including tumors that occur in patients with Lynch syndrome (also called hereditary nonpolyposis colorectal cancer or HNPCC), a common hereditary cancer that accounts for about 5% of colon cancers, and about 15% of sporadic cancers with Lynch-like features.[44,45]

Because we knew that microsatellite mutations are common in E. coli mismatch repair mutants, [46] it seemed plausible that these tumor cells might be defective in mismatch repair. We acquired a number of microsatellite unstable tumor cell lines, initially from Bert Vogelstein (Johns Hopkins University School of Medicine) and then from other sources (Figure 9A). Guo-Min Li, Woei-horng Fang, Matt Longley, and Jim Drummond found each of these cell lines to be defective in mismatch repair.<sup>[47,50]</sup> Availability of these repair-defective cells permitted us to identify and isolate the repair components lacking in these lines (Figure 9B,C). Jim Drummond and Guo-Min Li showed several of these lines to be deficient in MutSα, a heterodimer of the two MutS homologs MSH2 and MSH6, [48,51] which proved to be the primary human mismatch recognition protein.<sup>[52]</sup> Guo-Min Li identified the repair activity lacking in the other cell lines as MutLa, a heterodimer of the MutL homologs MLH1 and PMS2.<sup>[49]</sup> While we were pursuing these biochemical studies, several labs, primarily those of Richard Kolodner and Bert Vogelstein, were sequencing MutS and MutL homolog genes in Lynch families. They showed the mutations in these genes segregate with disease phenotype, [53,54] and it is now quite clear that the majority of Lynch syndrome cancers are caused by defects in one of these two heterodimers.<sup>[44,45]</sup> Inactivation of mismatch repair in these tumor cells increases the rate of mutation production 100 to 1000-fold, [55-57] which is believed to play a direct role in the development of these cancers.

The last four tumor cell lines shown in Figure 9A proved to be exceptional: each is derived from a sporadic cancer with microsatellite instability and each is defective in mismatch repair. Jim Drummond and Guo-Min Li showed that these cell lines fail to produce the MLH1 subunit of MutL $\alpha$  and that repair is restored to extracts in each case by addition of purified MutLa.[50] However, we encountered skepticism when we shared these findings with some of our sequencing colleagues, who told us that they had sequenced the MLH1 gene in several of these lines, and it was normal. But Sandy Markowitz (Case Western Reserve University), with whom we were working on several of these cell lines, took us seriously, and collaborative experiments largely done in Sandy's lab showed that the MLH1 gene in these cell lines is epigenetically silenced by CpG methylation within the promoter region.<sup>[50]</sup> The gene is normal, but it is not transcribed and MLH1 polypeptide is not produced, resulting in a mismatch repair defect. The proof for this conclusion is shown in Figure 10. Exposure of these microsatellite unstable,

#### Α

Tumor cell line	Microsatellite instability	3' G-T heteroduplex repair (fmol)	Defect	
HeLa (cervix)	No	9	-	
SW480 (colon)	No	13	-	
Vaco 410 (colon)	No	7.4	-	
LoVo (colon)	Yes < 0.3		MutS $\alpha$	
HCT-15 (colon)	Yes	< 0.3	c 0.3 MutSα	
HEC-59 (endometrial)	Yes	< 0.3	MutSα	
HCT 116 (colon)	Yes < 0.3		MutLlpha	
Vaco 481 (colon)	Yes	< 0.3	MutLlpha	
RKO (colon)*	Yes < 0.3		MutLlpha	
Vaco 5 (colon)*	Yes	0.4	MutLlpha	
Vaco 6 (colon)*	Yes	< 0.3	MutLα	
AN3CA (endometrial)*	Yes	< 0.3	MutLlpha	



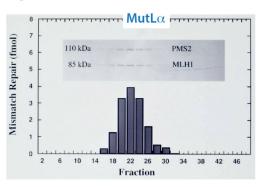


Figure 9. Microsatellite unstable tumor cell lines are defective in mismatch repair and deficient in MutSα or MutLα. A) Mismatch repair activity in microsatellite stable and unstable cell lines. B) Isolation of MutSα (MSH2-MSH6 heterodimer). C) Isolation of MutLα (MLH1-PMS2 heterodimer). Panel (B) is reproduced from reference [48] with permission from AAAS. Panel (C) is reproduced from reference [49], Copyright 1995 National Academy of Sciences, U.S.A.

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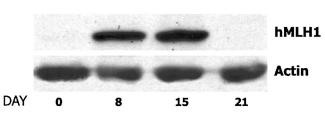
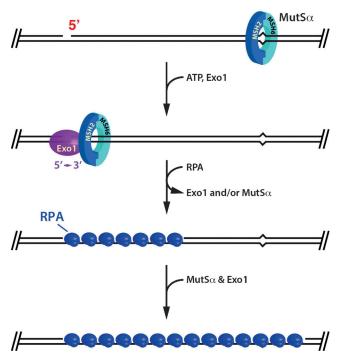


Figure 10. 5-azacytidine exposure results in transient MLH1 expression in AN3CA tumor cells. Cells were treated with 5-azacytidine for 24 hours on days 2 and 5. Levels of MLH1 and the actin loading control were determined by western blot. Reproduced with permission from reference [50], Copyright 1998 National Academy of Sciences, U.S.A.

sporadic cancer cells to 5-azacytidine, which leads to transient cytosine demethylation, results in transient expression of MLH1. This effect is important in the clinics, where silencing of the *MLH1* locus is believed to account for about 15% of colon cancers.<sup>[58]</sup>

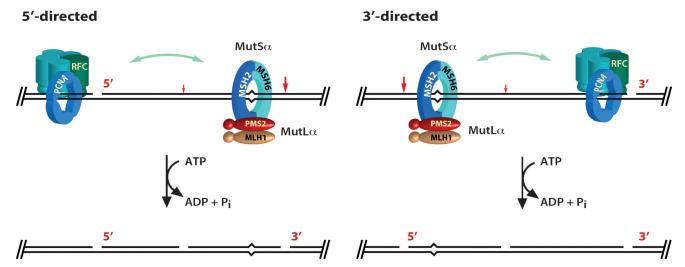
In addition to MutS $\alpha$  (recognizes base-base and small insertion/deletion mismatches of 1 to about 3 extrahelical residues) and MutL $\alpha$ , genetic and biochemical studies have implicated six additional proteins in eukaryotic mismatch repair: MutS $\beta$  (MSH2–MSH3 heterodimer; prefers insertion/deletion mismatches of 2 to about 10 extrahelical residues), [52,59–61] exonuclease 1, [62–64] the single-strand DNA binding protein RPA, [65,66] the PCNA sliding clamp, [67] the clamploader RFC, [68] and DNA polymerase  $\delta$ . [69] These 8 proteins are sufficient to reconstitute a minimal system that supports human bidirectional mismatch repair in vitro. [68,70] We identified two strand-directed reactions, which are supported by subsets of these proteins, that provide insight into the mechanisms of human mismatch repair.

The simplest of these (Figure 11) is in a sense a trivial example of strand direction. The only exonuclease definitively implicated in eukaryotic mismatch repair is exonuclease 1 (Exo1), which hydrolyzes duplex DNA with 5′ to 3′ polarity. [62-64] Jochen Genschel demonstrated that Exo1 is activated by MutSα in a mismatch-dependent manner. [64,71]



**Figure 11.** MutSα activation of Exo1 and control of processive action of the MutSα–Exo1 complex by RPA.

and similar results have been obtained in Guo-Min Li's laboratory. Like bacterial MutS, MutS $\alpha$  is capable of ATP-dependent movement along the helix, and we think it likely that such movement serves to couple action at the two DNA sites. Activation by MutS $\alpha$  renders Exo1 highly processive. Processive action of the MutS $\alpha$ -Exo1 complex is controlled by the RPA single-strand binding protein, which displaces the processive complex from the helix after removal of about 200 nucleotides. The resulting RPA-filled gap is a poor substrate for Exo1, and the enzyme cannot reload without the mismatch-dependent assistance of MutS $\alpha$ . This leads to an iterative cycle of removal of about 200 nucleotides



**Figure 12.** MutL $\alpha$  is a strand-directed endonuclease that depends on a mismatch, a preexisting strand break, MutS $\alpha$ , PCNA, and RFC for activation.



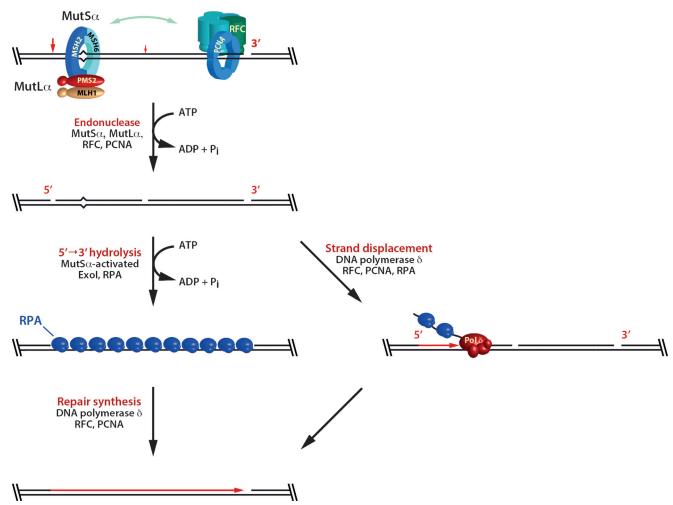


per Exo1 reloading event that continues until the mismatch is removed, at which point excision is dramatically attenuated because MutS $\alpha$  can no longer promote Exo1 loading. MutL $\alpha$  is not required for this reaction, but it modestly enhances the mismatch dependence of excision by suppressing hydrolysis on mismatch-free homoduplex DNA, and poly[ADP-ribose] polymerase PARP-1 further potentiates mismatch dependence in this system.<sup>[74]</sup>

The second strand-directed reaction is more interesting (Figure 12). Farid Kadyrov, Leo Dzantiev, and Nicoleta Constantin demonstrated that unlike E. coli MutL, human  $MutL\alpha$  is a latent endonuclease that is activated in a manner that depends on a mismatch, a preexisting strand break, MutS $\alpha$ , the PCNA sliding clamp, and RFC, the clamp loader that places PCNA on the helix.<sup>[75]</sup> Endonuclease action is strand-directed: it is targeted to the heteroduplex strand that contains the preexisting break. Incision by activated MutLa can occur at multiple sites on the pre-incised strand, but initial events appear to be biased to the distal side of the mismatch. This reaction occurs on both 5' and 3' heteroduplexes to yield molecules in which the mismatch is bracketed by 5' and 3' strand breaks. Farid Kadyrov showed that these 5'-termini serve as loading sites for two alternate modes of mismatch removal: hydrolytic excision by MutSα-activated Exo1<sup>[75]</sup> or synthesis-driven strand displacement by DNA polymerase  $\delta$  (Figure 13). Other excision mechanisms may also be possible.

We believe that endonuclease action is a primary function of MutL $\alpha$  in eukaryotic mismatch repair. The basis for this conclusion is shown in Figure 14. Farid identified a DQHA-(X)<sub>2</sub>E(X)<sub>4</sub>E metal-binding, endonuclease active site motif within the C-terminal domain of the PMS2 subunit of MutL $\alpha$  (Figure 14, motif highlighted in red). Amino acid substitutions within this motif have no effect on stability of the MLH1-PMS2 heterodimer, MutL $\alpha$  ATPase activity, or assembly of the MutL $\alpha$ -MutS $\alpha$ -heteroduplex ternary complex, but they inactivate endonuclease function and abolish mismatch repair in human, yeast, and mouse cells.<sup>[75–77]</sup> In mice, inactivation of MutL $\alpha$  endonuclease function is associated with strong cancer predisposition and a partial defect in immunoglobulin class switch recombination.<sup>[77]</sup>

Although not present in members of the MLH1 family, the DQHA(X)<sub>2</sub>E(X)<sub>4</sub>E endonuclease motif is conserved in eukaryotic PMS2 homologs. It is also found in many bacterial MutL proteins (Figure 14), and recent work has shown that like human and yeast MutL $\alpha$ , at least some of these bacterial MutL proteins function as endonucleases.<sup>[78]</sup> The notable exceptions are MutL proteins from bacteria like *E. coli* and related organisms that rely on d(GATC) methylation to direct



**Figure 13.** Mismatch removal from MutLα-incised heteroduplex DNA by MutSα-activated Exo1 (left) or synthesis-driven strand displacement by DNA polymerase  $\delta$  (right).

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н.	sapiens	PMS2	696	FIVDQHATDEKYNFEM	711
н.	sapiens	MLH3	1220	VLVDQHAAHERIRLEQ	1235
D.	melanogaster	PMS2	715	FIVDQHATDEKYNFET	730
C.	elegans	PMS2	639	FIVDQHASDEKYNFER	654
A.	thaliana	PMS1	725	FIVDQHAADEKFNFEH	740
s.	cerevisiae	MLH3	520	VLVDQHACDERIRLEE	535
s.	cerevisiae	PMS1	729	FIVDQHASDEKYNFET	744
N.	pharaonis	MutL	533	VLIDQHAADERINYER	548
М.	mazei	MutL	510	VIIDQHAAHERILYEQ	538
в.	subtilis	MutL	459	YIIDQHAAQERIKYEY	474
L.	innocua	MutL	418	YIIDQHAAQERIKYEF	450
L.	casei	MutL	473	YILDQHAAQERVNYEY	488
s.	aureus	MutL	501	YMIDQHAAQERIKYEY	516
т.	aquaticus	MutL	360	YIVDQHAAHERILFEE	375
Н.	sapiens	MLH1	304	DVNVHPTKHEVHFLHE	319
н.	sapiens	PMS1	36	GATSVDVKLENYGFDK	51
s.	cerevisiae	MLH2	243	IVEENFVIDEKINLDL	258
E.	coli	MutL	300	DVNVHPAKHEVRFHQS	315
s.	typhimurium	MutL	300	DVNVHPAKHEVRFHQS	315

**Figure 14.** MutLα endonuclease active site motif. C-terminal PMS2 DQHA(X) $_2$ E(X) $_4$ E endonuclease active site motif is conserved in eukaryotic PMS2 homologs (*S. cerevisiae* PMS1 is a homolog of human PMS2) and in many bacterial MutL proteins, with the exception of MutL proteins from bacteria like *E. coli* that rely on d(GATC) methylation to direct mismatch repair. Amino acid residues shown in blue at the top of the figure correspond to substitution mutations used to assess involvement of the motif in MutLα function. Reproduced from reference [75], Copyright 2006 with permission from Elsevier.

mismatch repair, where this motif is conspicuously absent. Like *E. coli* MutL, [22,76] these latter proteins presumably lack endonuclease function. Thus two distinct mechanisms for strand-targeting of mismatch repair occur in nature.

The mechanism of MutLa activation is complex, but Anna Pluciennik and Leo Dzantiev have clarified several of its features.<sup>[79]</sup> They showed that the only function of the preexisting strand break within the heteroduplex (Figure 12) is to provide a loading site for the PCNA sliding clamp, and that RFC involvement in MutLa activation is restricted to loading of the clamp onto the helix. Loaded PCNA plays two important roles in the reaction. Physical interaction of  $MutL\alpha$ with the loaded clamp is required for endonuclease activation, and the orientation with which PCNA is loaded onto the helix determines strand direction of endonuclease action.<sup>[79]</sup> These functions of the loaded clamp are illustrated in the model shown in Figure 15. The two faces of the PCNA clamp are not equivalent, and RFC loads the clamp at a 3'-double strandsingle strand junction with a unique orientation (depicted in Figure 15 with gold face oriented toward the 3' terminus). The Jiricny and Kolodner labs have shown that MutSα is tethered to the replication fork via physical interaction with PCNA, [80,81] and both of these proteins are capable of movement along the helix. [34-36,82] Mismatch recognition by MutSa triggers recruitment of MutLa, which is capable of interacting with both proteins. The idea is that the asymmetry of clamp loading is preserved in the MutLα-PCNA complex, and this serves to uniquely orient the MutLa endonuclease active site relative to two DNA strands of the helix.

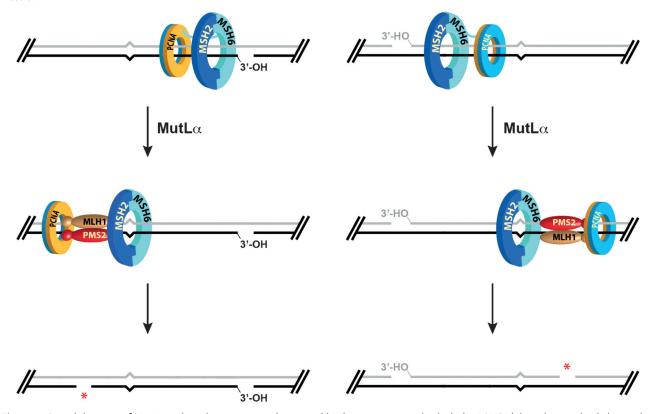


Figure 15. Strand direction of MutL $\alpha$  endonuclease action is determined by the orientation with which the PCNA sliding clamp is loaded onto the DNA helix.

### **Nobel Lectures**





When we began our work on human mismatch repair, we had no idea concerning the nature of the biological strand signals, although we and others had suggested that DNA termini that occur naturally during the course of DNA replication might suffice in this regard.<sup>[41,67,83]</sup> The stranddirected reactions described above are consistent with this view. 5' termini on the lagging strand at the replication fork would presumably support loading of MutS $\alpha$ -activated Exo1, and genetic studies from the Kolodner and Kunkel laboratories are compatible with this idea.[81,84] Furthermore, strand direction of MutLa endonuclease action is controlled by the loading orientation of the PCNA clamp on the helix, which in turn is determined by 3'-termini on the leading and lagging strands at the fork. It therefore seems likely that 5' and 3' DNA termini that occur naturally during the course of DNA replication serve as default signals that direct mismatch repair in the eukaryotic cell.

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